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FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. APPLICATION NO. 1483 JENG3008/EM 10/601,598 06/24/2003 Sheng-Wen Jeng **EXAMINER** 06/20/2006 23364 7590 WANG, CLAIRE X **BACON & THOMAS, PLLC 625 SLATERS LANE** PAPER NUMBER ART UNIT FOURTH FLOOR

2193

DATE MAILED: 06/20/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

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|  | Application No.   | Applicant(s)                |  |
|--|---|-----------------------------|--|
| Office Action Summan   | 10/601,598  | JENG, SHENG-WEN             |  |
| Office Action Summary  | Examiner  | Art Unit                    |  |
|  | Claire Wang   | 2193                        |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address — Period for Reply   |   |                             |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 2 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |   |                             |  |
| Status   |   |                             |  |
| 1) Responsive to communication(s) filed on <u>24 June 2003</u> .   |   |                             |  |
| 2a) This action is <b>FINAL</b> . 2b) ☑ Thi  | 2a) This action is <b>FINAL</b> . 2b) This action is non-final.   |                             |  |
| • •  | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is |                             |  |
| closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  |   |                             |  |
| Disposition of Claims  |   |                             |  |
| <ul> <li>4) Claim(s) 1-9 is/are pending in the application.</li> <li>4a) Of the above claim(s) is/are withdrawn from consideration.</li> <li>5) Claim(s) 1-9 is/are allowed.</li> <li>6) Claim(s) is/are rejected.</li> <li>7) Claim(s) is/are objected to.</li> <li>8) Claim(s) are subject to restriction and/or election requirement.</li> </ul>  |   |                             |  |
| Application Papers   |   |                             |  |
| <ul> <li>9) The specification is objected to by the Examiner.</li> <li>10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).</li> <li>11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.</li> </ul>  |   |                             |  |
| Priority under 35 U.S.C. § 119   |   |                             |  |
| <ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>  |   |                             |  |
| Attachment(c)  |   |                             |  |
| Attachment(s)  1) Notice of References Cited (PTO-892)   | 4) Interview Summary  | (PTO-413)                   |  |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  | Paper No(s)/Mail Da   | ate                         |  |
| 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08 Paper No(s)/Mail Date  | 5) Notice of Informal P<br>6) Other:  | atent Application (PTO-152) |  |

# **DETAILED ACTION**

## **Quayle Action**

1. This application is in condition for allowance except for the following formal matters:

- a. The title of the invention is not descriptive. A new title is required that is clearly indicative of the invention to which the claims are directed.
- b. The colon symbol (:) recited in claim 6 after the word "is" should be deleted.

Prosecution on the merits is closed in accordance with the practice under *Ex* parte Quayle, 1935 C.D. 11, 453 O.G. 213.

A shortened statutory period for reply to this action is set to expire **TWO**MONTHS from the mailing date of this letter.

#### Conclusion

2. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Chen et al. (US 6,920,237 B2) teaches of an iris detection apparatus. The apparatus uses template matching to detect the location of the iris. The face image is first divided into two regions. A template of the eye is generated by averaging a large number of sample eye images. For each pixel a summation of the squared difference is calculated by moving the template across the image.

Then using the summation of squared calculation the intensity of each pixel is generated. Chen does not teach that the template of the eye is deformable.

Meaning Chen's template of the eye has only one size.

Xie (Automatic extraction and tracking of eye features from facial image sequences, Proquest, AAT 9434636, Chapter 4) teaches of iris extraction method using deformable templates. The templates are moved across the image to calculate the energy of each pixel. However, Xie does not teach that the templates are predefined; instead they are updated after each energy calculation. Also, the templates are formed using two parabolas and not a circle. Xie also does not teach of the concept of finding the best candidates of possible irises.

Wang (Automatic eye feature extraction based on Hough transform and eyelid deformable template, SO Journal of Infrared and Millimeter Waves, Feb. 1999, vol. 18, no.1, p. 53-60) teaches of iris extraction method using deformable templates and Hough transform. The deformable templates are in a circular shape and they are also deformable. However, Wang does not teach of grouping candidates and pairing them to find the best iris candidate. Also, Wang does not teach that the templates are formed using the distance between the eyes.

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### Inquiries

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Claire Wang whose telephone number is 571-270-1051. The examiner can normally be reached on Monday-Thursday 7:30am-5pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Chanh Nguyen can be reached on 571-272-7772. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

CW 6/6/06 Clembry